

**S P E C I F I C A T I O N**

**TITLE OF THE INVENTION**

**SOUND SYSTEM AND METHOD OF SOUND REPRODUCTION**

**RELATED APPLICATION INFORMATION**

- 5      [0001]     This application claims priority to U.S. Provisional Application Ser. No. 60/267,952 filed February 9, 2001, hereby incorporated by reference as if set forth fully herein.

**BACKGROUND OF THE INVENTION**

10     1) Field of the Invention

[0002]     The field of the present invention relates to sound reproduction and, more specifically, to a speaker configuration and related sound processing for use in a sound system.

15     2) Background

[0003]     Attaining optimal sound quality in surround sound or multi-channel sound systems, over the largest possible listening area, can be quite challenging. Some of the difficulties in achieving optimal sound quality in such systems result

from the fact that a wide variety of different surround sound and multi-channel audio formats and speaker configurations exist, so that a particular sound system may have reasonably acceptable sound with respect to one or perhaps two audio formats yet sub-optimal sound with respect to other audio formats.

- 5 Therefore, where a consumer desires, for example, to use a single sound system to play sound recordings in a variety of different formats, different levels of sound quality, some of which are poor or impaired, are likely to be experienced. While the user can adjust speaker positioning or relative balances to try to improve sound quality, such techniques may involve significant manual effort or 10 inconvenience, may be hard to reproduce consistently, and may benefit only one or perhaps a few listeners in a relatively small portion of the listening area.

**[0004]** Existing surround sound recording formats include those referred to as 5.1, 6.1 and 7.1. The 5.1 surround format comprises a compressed data stream containing five channels, generally designated left, center, right, 15 surround left, and surround right, named for the speaker positions for which the channel information is intended. A low frequency effects channel is formed by a combination of the five other channels, and may be provided to a subwoofer. The 6.1 surround format includes the same five channels as the 5.1 surround format, but adds a surround back channel, which may be fed to one 20 or more back speakers in a surround sound system. The 7.1 surround format is similar to the 6.1 surround format, but has two surround back channels (surround

back left and surround back right) rather than a single back channel, for a total of seven channels. Thus, the 5.1 surround format has two surround channels (surround left and right), the 6.1 surround format has three surround channels (surround left, right and back), and the 7.1 surround format has four surround channels (surround left and right, and surround back left and right).

**[0005]** Basic surround system speaker configurations generally include from six to eight speakers placed at conventionally well-established locations, according to the type of surround format they are intended to play. A six-speaker surround system typically includes left, right and center speakers (with the right and left speakers spaced widely apart), a sub-woofer, and surround left and right speakers (which may be monopolar or dipolar in nature). A seven-speaker surround system typically includes the same speaker arrangement as the six-speaker surround system, but adds a back surround speaker. An eight-speaker surround system typically includes the same speaker arrangement as the six-speaker surround system, but adds a back left surround speaker and a back right surround speaker.

**[0006]** The enjoyment experienced by a listener in a surround sound system can be affected by a number of factors, including the listener's physical position relative to the various speakers, as well as by the particular format of the audio track being played on the system. For example, when a 5.1 surround format soundtrack is played on an eight-speaker (7.1) surround system, certain

anomalies may occur. An example is that, if the 5.1 surround left and surround right audio signals are monaural, then the left and right surround effects can disappear, being replaced by a single central “phantom” sound image at the rear. Another phenomenon is that if the listener is positioned in the middle of the

- 5 surround left and surround right speakers, he or she may perceive the surround left and right sound (if monaural) to be higher in volume than it otherwise would be, primarily due to the additive effect of the sound waves intersecting at the listener’s position (known as a “lift” effect). If the sound pans from one side to the other (e.g., from left to right), the sound volume may appear to increase as 10 left/right balance is achieved, and then appear to decrease as the sound continues to pan, even though the audio output level remains constant, due to the same “lift” effect. The sound quality may also seem to be “unstable,” in the sense that if the listener moves from the center position, the sound might seem to “flip” from one side to the other.

- 15 [0007] Some of these effects can be mitigated in 5.1 surround sound systems by the use of adaptive decorrelation with respect to the surround left and right speakers, which derives two substantially decorrelated signals when the surround left and right signals are monaural, in order to provide an improved enveloping surround effect.

- 20 [0008] When a 6.1 surround format soundtrack is played on an eight-speaker (7.1) surround system, certain other anomalies may be experienced.

- Since the two rear surround speakers (left and right) are each fed with an identical monaural signal (that is, the same surround back signal), a centrally located "phantom" image may result when the listener is positioned approximately equidistant from the speakers. Reported side effects of this
- 5 arrangement include "coloration" associated with the phantom image (for example, the sound may seem "unnatural"), a narrow "sweet spot" due to lack of sound image stability when the listener moves off center, and a comb filter effect (in other words, nulls may be produced due to sound wave cancellation effects).
- 10 [0009] Besides surround systems, a variety of multi-channel recording and playback systems also exist. Examples of some common multi-channel sound systems are Dolby AC-3, DTS, and DVD-Audio, each of which has its own specific digital encoding format. Unlike cinema sound, there is generally no single adopted standard of either loudspeaker type (e.g., full range, satellite plus sub-
- 15 woofer, dipole, monopole) or speaker layout for most multi-channel audio formats. Any user therefore desiring to listen to multi-channel soundtracks, and/or any of the surround formats (5.1., 6.1 and 7.1), is required either to accept one speaker layout optimized for a particular audio format and experience a compromised performance for all others, or to reconnect various
- 20 speakers to suit the audio format a particular soundtrack.

[0010] Beyond the surround sound environment, other sound systems also face similar challenges, such as attaining a suitably wide “sweet spot” in which the perceived area and stability of a stereo sound image is maximized. In most traditional sound systems, the convention has been to place left and right speakers far apart physically, under the theory that the human ear is thereby better able to perceive the richness of the audio subject matter. However, under many left/right speaker configurations, the sound at off-axis listening positions may be sub-optimal. The quality of sound at a given off-axis listening position may be affected not only by the difference between left and right volumes resulting from the different distances to the left and right speakers, but also by the slight difference in time it takes the aural information to reach the listener.

[0011] Accordingly, it would be advantageous to provide an improved sound system which overcomes one or more of the foregoing problems or shortcomings.

#### SUMMARY OF THE INVENTION

[0012] The present invention is generally directed to improved sound reproduction systems and methods involving a speaker configuration and/or placement, and related sound processing, for enlarging the perceived area and stability of a sound image generated from right and left source signals.

**[0013]** In one aspect, a sound reproduction system comprises a pair of speakers (left and right) located in close proximity, and a sound processor which provides audio signals to the pair of speakers. According to a preferred embodiment, the sound processor acts to "spread" the sound image produced

- 5 by the two closely spaced speakers by employing a cross-cancellation technique wherein a cancellation signal is derived, for example, from the difference between the left and right channels. The resulting difference signal is scaled, delayed (if necessary) and spectrally modified before being added to the left channel and, in opposite polarity, to the right channel. The spectral modification to the difference channel preferably takes the form of a low-frequency boost over a specified frequency range, in order to restore the correct timbral balance after the differencing process which causes a loss of bass when the low-frequency signals in each channel are similar. Additional phase-compensating all-pass networks may be inserted in the difference
- 10 channel to correct for any extra phase shift contributed by the usually minimum-phase-shift spectral modifying circuit so that the correct phase relationship between the canceling signal and the direct signal is maintained over the desired frequency range.
- 15

- [0014]** Alternatively, a linear-phase network may be employed to provide the spectral modification to the difference channel, in which case compensation can be provided by application of an appropriate, and

substantially identical, frequency-independent delay to both left and right channels.

**[0015]** The various speaker configuration and sound processing embodiments as described herein may be employed in connection with a

5 surround sound system to achieve improved sound reproduction. A sound

reproduction system for a surround sound stereophonic system may comprise a set of speakers (e.g., front, left, center, surround left, and surround right),

including a pair of surround back speakers located in close proximity, and a sound processor. The sound processor receives left and right surround channel

10 signals (either side or rear surround signals), and generates a difference signal

therefrom. The resulting difference signal may be processed as described above – i.e., scaled, delayed (if necessary) and spectrally modified before being added to the left channel and, in opposite polarity, to the right channel.

Additional phase-compensating all-pass networks may, as noted above, be

15 inserted in the difference channel to correct for any extra phase shift

contributed by the usually minimum-phase-shift spectral modifying circuit so that the correct phase relationship between the canceling signal and the direct signal is maintained over the desired frequency range.

**[0016]** Further embodiments, variations and enhancements are also

20 disclosed herein.

BRIEF DESCRIPTION OF THE DRAWINGS

[0017] FIG. 1 is a diagram illustrating playback of a soundtrack in a 5.1 surround system.

[0018] FIG. 2 is a diagram illustrating playback of a 5.1 surround format 5 soundtrack in a 7.1 surround sound system.

[0019] FIG. 3 is a diagram illustrating playback of a 6.1 surround format soundtrack in a 7.1 surround sound system.

[0020] FIG. 4 is a diagram illustrating the concept of a "sweet spot" in the context of 6.1 surround format playback in a 7.1 surround sound system.

[0021] FIG. 5 is a diagram illustrating movement of the phantom image in conjunction with the listener's movement. 10

[0022] FIG. 6 is a diagram of a speaker configuration for a surround sound system, in accordance with a preferred embodiment as described herein.

[0023] FIG. 7 is a diagram illustrating 6.1 surround format playback in the 15 surround sound system shown in FIG. 6.

[0024] FIG. 8 is a simplified block diagram of a sound processing system in accordance with one or more embodiments as disclosed herein, as may be used, for example, in connection with the speaker configuration illustrated in FIG. 6.

[0025] FIG. 9-1 is a more detailed diagram of a sound processing system as 20 may be used, for example, in connection with the system illustrated in FIG. 6

[0026] FIG. 9-2 is a diagram of a sound processing system in general accordance with the layout illustrated in FIG. 9-1, further showing examples of possible transfer function characteristics for certain processing blocks.

[0027] FIG. 10 is a diagram of a sound processing system illustrating 5 representative transfer functions.

[0028] FIG. 11 is a diagram of a sound system in accordance with the general principles of the systems illustrated in FIGS. 8 and 9, as applied in the context of a surround sound system.

[0029] FIG. 12 is a conceptual diagram illustrating processing/operation for 10 5.1 surround format playback in the context of a surround sound system such as shown, for example, in FIG. 6 or 11.

[0030] FIGS. 13 and 14 are graphs illustrating examples of frequency response and phase transfer functions for a sound processing system having particular spectral weighting and other characteristics.

[0031] FIGS. 15-1, 15-2, and 15-3 are graphs illustrating examples of gain 15 and/or phase transfer functions for a sound processing system in accordance with FIG. 9-2.

[0032] FIG. 16 is a diagram of a sound processor employing a linear spectral weighting filter.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

**[0033]** According to various embodiments as disclosed herein, a preferred sound reproduction system comprises, in one aspect, a pair of speakers located in close proximity, and a sound processor which provides audio signals to the pair of speakers. The sound processor preferably acts to "spread" the sound image produced by the two closely spaced speakers by employing a cross-cancellation technique wherein a cancellation signal is derived, for example, from the difference between the left and right channels. The resulting difference signal is scaled, delayed (if necessary) and spectrally modified before being added to the left channel and, in opposite polarity, to the right channel, thereby enlarging the perceived area and stability of the stereo sound image. Further details of preferred sound processing techniques are described later herein.

**[0034]** Some advantages of various embodiments disclosed herein can be appreciated by way of contrast and comparison with conventional surround/multi-channel sound systems. FIG. 1, for example, is a diagram illustrating playback of a surround-encoded soundtrack in a 5.1 surround system 100. As shown in FIG. 1, the 5.1 surround system 100 includes a front left speaker 104, a front right speaker 105, a center speaker 102, a sub-woofer 109, a surround left speaker 114, and a surround right speaker 115. In the example shown in FIG. 1, the surround left and right speakers 114, 115 are both dipolar

speakers, which distribute sound in multiple (typically opposite) directions and are thereby provide improved ambient sound. The surround left and right speakers 114, 115 are typically widely spaced on opposite sides of a room (or other listening space), at positions which are above and slightly to the rear of

5 the desired listening position.

**[0035]** The speakers 102, 104, 105, 109, 114, and 115 in the 5.1 surround system 100 are generally arranged to provide optimum sound for a listener 107 positioned in the approximate center of the speaker arrangement. However, a 5.1 surround system lacks an effective directional component to the immediate 10 left and right sides and to the rear of the listener 107. Therefore, a 6.1 or 7.1 surround system, both of which have a rear speaker component, is generally capable of providing superior sound and audio effects in certain contexts. A 6.1 surround system, as previously indicated, adds a single rear surround speaker, while a 7.1 surround system adds two rear surround speakers typically spaced 15 relatively far apart from one another.

**[0036]** FIG. 2 is a diagram of a 7.1 surround system 200, illustrating playback of a 5.1 surround-encoded soundtrack. As shown in FIG. 2, the 7.1 surround system 200 includes front left and right speakers 204, 205, a center speaker 202, a sub-woofer 209, a surround left speaker 214, a surround right speaker 215, a 20 surround back left speaker 224, and a surround back right speaker 225. In the particular example of FIG. 2, as with FIG. 1, the surround left and right speakers

214, 215 are dipolar in nature. The surround back left and right speakers 224, 225 are typically spaced relatively far apart behind the listener 207. When a 5.1 encoded soundtrack is played on a 7.1 surround system 200 such as shown in FIG. 2, the surround left and right speakers 214, 215 receive the left and right surround channel information, and the surround back left and right speakers 224, 225 may or may not receive the left and right surround channel information, depending upon how the user has programmed the system 200. In either case, certain anomalies can occur. For example, if the left and right surround channels are monaural, the left/right surround effect can seem to disappear and be replaced by a single central "phantom" sound image 230 at the rear of the listener 207. This effect can be mitigated by the use of adaptive de-correlation, which involves derivation of two substantially de-correlated signals from the single monaural channel in order to provide an improved enveloping surround effect.

15 [0037] FIG. 3 is a diagram illustrating 6.1 surround format playback in a 7.1 surround system. In FIG. 3, the speakers labeled 3xx generally correspond to the same speakers labeled 2xx in FIG. 2. When a soundtrack in a 6.1 surround format is played on a 7.1 surround system 300 such as shown in FIG. 3, the surround back speakers 324, 325 are fed with identical monaural signals (derived 20 from the single surround back channel in the 6.1 encoding format), which may or may not be delayed with respect to each other to compensate for unequal

distances from the optimum listening position. As illustrated in FIG. 3, the identical monaural signals being played through the surround back speakers 324, 325 produces a central "phantom" sound image 330 when the listener is positioned approximately equidistant from them. Reported side effects include  
5 "coloration" associated with the phantom sound image 330, which can lead to listener confusion or an unnatural sound, a narrow "sweet spot" (see FIG. 4) due to lack of sound image stability when the listener moves off center from the axis which is equidistant from both surround back speakers 324, 325 (see FIG. 5), and suppression of certain frequency ranges due to cancellations (i.e., nulls) caused  
10 by a "comb filter" effect as the sound waves interfere with one another. As a result, the sound quality of a 6.1 surround format soundtrack, when played back in a 7.1 surround system 300, can suffer significantly, particularly for listeners that are not positioned in an optimum listening position.

**[0038]** As previously indicated in the Background section hereof, replay of  
15 soundtracks in other multi-channel formats (such as Dolby AC-3, DTS or DVD-  
Audio) can also suffer from similar effects, depending upon the nature of the  
signals fed to the different left/right and back surround speakers.

**[0039]** FIG. 6 is a diagram showing a speaker configuration for a surround  
sound system 600 in accordance with a preferred embodiment as described  
20 herein. The sound system 600 of FIG. 6 includes, similar to the systems 200 and  
300 shown in FIGS. 2 and 3, respectively, front left and right speakers 604, 605, a

front center speaker 602, a sub-woofer 609, a surround left speaker 614, and a surround right speaker 615. The sound system 600 further includes a surround back left speaker 624 and a surround back right speaker 625, which are preferably positioned in close proximity to one another, possibly even within the

5 same speaker enclosure. The surround back left and right speakers 624, 625 are preferably identical and may be either dipolar or monopolar in nature, but are shown in FIG. 6 as monopolar. The speaker configuration of the sound system 600 illustrated in FIG. 6, coupled with a preferred sound processing technique, can provide improved sound quality when, for example, playing audio tracks

10 recorded in any of the surround sound or multi-channel formats.

**[0040]** When the sound system 600 of FIG. 6 is used to play a soundtrack recorded in 7.1 surround format, the various left, right, center, and surround left/right channel audio signals are fed to the appropriate individual speakers, as would normally be done with a typical 7.1 surround speaker configuration.

15 However, the surround back left and right speakers 624, 625 preferably receive the surround back right channel audio signal and surround back left channel audio signal after sound processing as further described in more detail later herein.

**[0041]** When, on the other hand, the sound system 600 of FIG. 6 is used to play a soundtrack recorded in 6.1 surround format, the various left, right, center, and surround left/right channel audio signals are again fed to the appropriate

individual speakers, as would normally be done with a typical 7.1 surround speaker configuration. Typically, assuming that Surround EX playback is properly selected (e.g., a Surround EX flag is present), the surround back left and right speakers 624, 625 both receive and respond directly to the surround rear

- 5 channel audio signal. The central rear sound image produced by the closely spaced surround back left and right speakers 624, 625 from the monaural signal (i.e., the surround rear channel audio signal) is stable over a much wider area, as compared to widely spread surround back left and right speakers, and has significantly less "coloration" or unnaturalness than the audio sound produced  
10 by such widely spaced rear surround speakers.

**[0042]** In some instances, such as, for example, where the 6.1 Surround soundtrack is matrix-encoded, or where Surround EX processing is not invoked for whatever reason, a somewhat different type of playback may be experienced. In such a case, the sound system may effectively treat the  
15 soundtrack as a 5.1 soundtrack, and may send to the surround back left and right speakers 624, 625 the surround left and right channel audio signals, which may be mixed with at least some portion of the monaural channel information (if the soundtrack is matrix encoded). According to a preferred sound system as disclosed herein, the surround back left and right speakers 624, 625 both receive  
20 and respond directly to the surround rear channel audio signal, if such information is present, and, after suitable sound processing, as further described

herein, to the surround left/right channel audio signals. FIG. 7 illustrates the playback of a 6.1 surround-encoded soundtrack in the sound system 600 of FIG. 6 in such a situation. As shown in FIG. 7, a wide monaural sound image is projected from the surround back left and right speakers 624, 625. The surround 5 left and right channel audio signals are fed to both the surround left and right speakers 614, 615, and to the surround back left and right speakers 624, 625 after sound processing as further described later herein.

**[0043]** When the sound system 600 of FIG. 6 is used to play a soundtrack recorded in 5.1 surround format, the various left, right and center channel audio 10 signals are fed to the appropriate individual speakers, as would normally be done with a typical 7.1 surround speaker configuration. Preferred operation with respect to the surround left and right speakers 614, 615 and surround back left and right speakers 624, 625 depends in part upon the nature of the surround 15 left/right channel audio signals. When the surround left/right channel audio signals are monaural in nature, the sound system 600 preferably uses adaptive de-correlation to provide a de-correlated signal for the side surround speakers 614, 615, and provides a direct feed to the surround back left and right speakers 624, 625 to produce a superior rear central image. However, when the surround 20 left/right channel audio signals are stereo in nature, the surround left/right channel audio signals are fed directly to the surround left and right speakers 614, 615 without adaptive de-correlation, and, if desired, after suitable sound

processing as further described herein, to the surround back left and right speakers 624, 625. The surround left and right channel audio signals are processed such that the apparent rear sound image size is increased, and its stability is improved at off-axis listening positions. The appropriately apportioned 5 and summed output of the two side surround speakers 614, 615 and the two surround back speakers 624, 625 creates a near-continuous rear-half sound field, thereby improving the sound experience for listeners over a wider area.

**[0044]** FIG. 12 is a simplified diagram conceptually illustrating playback of a 5.1 surround format soundtrack in the sound system 600 of FIG. 6, when the 10 sound system 600 is configured to apply the surround left and right channel audio signals 1211, 1212 to the rear surround speakers 1224, 1125. As illustrated in FIG. 12, when the surround left and right channel audio signals 1211, 1212 are monaural, adaptive de-correlation processing (as represented by blocks 1271 and 1272) is activated, and when they are stereo in nature, adaptive sound 15 processing for the rear surround speakers 1224, 1225 (as represented by block 1201) is activated.

**[0045]** More generally, the techniques described herein are capable of producing potentially improved sound for a stereo signal in connection with a speaker configuration that includes two speakers placed in close proximity. 20 Whenever a stereo signal from any encoded program (e.g., surround sound or multi-channel soundtrack), or any audio product or source, is fed directly to the

appropriate right and left speakers (e.g., left and right surround speakers) and, after suitable sound processing as further described herein, to the pair of speakers placed in close proximity (e.g., surround back speakers). The pair of closely spaced speakers is thereby capable of generating a sound image of 5 improved stability and quality over a wider area, thus enlarging the optimum listening area and providing greater satisfaction to the listeners.

**[0046]** Further details regarding preferred sound processing for closely spaced speakers (such as rear surround speakers 624, 625 in FIG. 6) will now be described. FIG. 8 is a generalized block diagram of a sound processing system 10 800 in accordance with one embodiment as disclosed herein, as may be used, for example, in connection with the speaker configuration illustrated in FIG. 6, or more generally, in any sound system which utilizes multiple audio channels to provide stereo source signals. As shown in FIG. 8, a left audio signal 811 and right audio signal 812 are provided to a sound processor 810, and then to a pair 15 of closely spaced speakers 824, 825. The left audio signal 811 and right audio signal 812 may also be provided to left and right side (surround or non-surround) speakers, not shown in FIG. 8. In a preferred embodiment, the sound processor 810 acts to "spread" the sound image produced by the two closely spaced speakers 824, 825 by employing a cross-cancellation technique wherein a 20 cancellation signal is derived, for example, from the difference between the left and right audio signals 811, 812. The resulting difference signal is scaled,

delayed (if necessary) and spectrally modified before being added to the left channel and, in opposite polarity, to the right channel. The spectral modification to the difference channel preferably takes the form of a low-frequency boost over a specified frequency range, in order to restore the

5 correct timbral balance after the differencing process which causes a loss of bass when the low-frequency signals in each channel are similar. The effect of the sound processor 810 is to enlarge the perceived area and stability of the sound image produced by the speakers 324, 325, and provide an effect of stereo sound despite the close proximity of the speakers 324, 325.

10 [0047] FIG. 9-1 is a more detailed diagram of a sound processing system 900 in accordance with various principles as disclosed herein, and as may be used, for example, in connection with the sound system 600 illustrated in FIG. 6, or more generally, in any sound system which utilizes multiple audio channels to provide stereo source signals. In the sound processing system 900 of FIG. 9-1, a  
15 left audio signal 911 and right audio signal 912 are provided from an audio source, and may be fed to other speakers as well (not shown in FIG. 9-1). A difference between the left audio signal 911 and right audio signal 912 is obtained by, e.g., a subtractor 940, and the difference signal 941 is fed to a spectral weighting filter 942, which applies a spectral weighting (and possibly a  
20 gain factor) to the difference signal 941. The characteristics of the spectral weighting filter 942 may vary depending upon a number of factors including the

desired aural effect, the spacing of the speakers 924, 925 with respect to one another, the taste of the listener, and so on. The output of the spectral weighting filter 942 may be provided to a phase equalizer 945, which compensates for the phase shifting caused by the spectral weighting filter 942 (if 5 non-linear).

**[0048]** In FIG. 9-1, the output of the phase equalizer 945 is provided to a cross-cancellation circuit 947. The cross-cancellation circuit 947 also receives the left audio signal 911 and right audio signal 912, as adjusted by phase compensation circuits 955 and 956, respectively. The phase compensation 10 circuits 955, 956, which may be embodied as, e.g., all-pass filters, preferably shift the phase of their respective input signals (i.e., left and right audio signals 911, 912) in a complementary manner to the phase shifting performed by the phase equalizer 945 (in combination with the phase distortion caused by the spectral weighting filter 924), such that the phase characteristic of the central channel is 15 substantially 180° degrees out-of-phase with the phase characteristic of the left and right channels over the frequency band of interest. The cross-cancellation circuit 947, which may include a pair of summing circuits (one for each channel), then mixes the spectrally-weighted, phase-equalized difference signal, after adjusting for appropriate polarity, with each of the phase- 20 compensated left audio signal 911 and right audio signal 912. The perceived width of the soundstage produced by the pair of speakers 924, 925 can be

adjusted by varying the gain of the difference signal path, and/or by modifying the shape of the spectral weighting filter 942.

**[0049]** FIG. 9-2 is a diagram of a sound processing system 900' in general accordance with the principles and layout illustrated in FIG. 9-1, further showing

- 5 typical examples of possible transfer function characteristics for certain processing blocks. As with FIG. 9-1, in the sound processing system 900' a left audio signal 911' and a right audio signal 912' are provided from an audio source (not shown), and a difference signal 941' is obtained representing the difference between the left audio signal 911' and the right audio signal 912'.
- 10 The difference signal 941' is fed to a spectral weighting filter 942', which, in the instant example, applies a spectral weighting to the difference signal 941', the characteristics of which are graphically illustrated in the diagram of FIG. 9-2. A more detailed graph of the transfer function characteristics (both gain and phase) of the spectral weighting filter 942' in this example appears in FIG. 15-1.
- 15 As shown therein, the spectral weighting filter 942' is embodied as a first-order shelf filter with a gain of 0 dB at low frequencies, and turn-over frequencies at approximately 200 Hz and 2000 Hz. If desired, the gain applied by gain/amplifier block 946' can be integrated with the spectral weighting filter 942', or the gain can be applied downstream as illustrated in FIG. 9-2. In any event, as
- 20 previously noted, the turnover frequencies, amount of gain, slope, and other

transfer function characteristics may vary depending upon the desired application and/or overall system characteristics.

**[0050]** A phase equalizer 945' is provided in the center processing channel, and addition phase compensation circuits 955' and 956' in the right and left channels, to ensure that the desired phase relationship is maintained, over the band of interest, between the center channel and the right and left channels. As shown graphically in both FIG. 9-2 and in more detail in FIG. 15-1, the spectral weighting filter 942' in the instant example causes a phase distortion over at least the 200 Hz to 2000 Hz range. The phase equalizer 945' provides no gain, but modifies the overall frequency characteristic of the center channel. The phase compensation circuits 955' and 956' likewise modify the phase characteristics of the left and right channels, respectively. The phase compensation is preferably selected, in the instant example, such that the phase characteristic of the center channel (that is, the combined phase effect of the spectral weighting filter 942' and the phase equalizer 945') is approximately 180° out-of-phase with the phase characteristic of the left and right channels, over the frequency band of interest (in this example, over the 200 Hz to 2000 Hz frequency band). At the same time, the phase characteristic of the left and right channels are preferably remains the same, so that, among other things, monaural signals being played over the left and right channels will have identical phase processing on both channels (and thus maintain proper

sound characteristics). Therefore, the phase compensation circuits 955' and 956' preferably are configured to apply identical phase processing to the left and right channels.

**[0051]** More detailed graphical examples of gain and phase transfer functions (with the gain being zero in this case when the components are embodied as all-pass filters) are illustrated for the center channel phase equalizer 945' in FIG. 15-2 and for the left and right channel phase compensation circuits 955', 956' in FIG. 15-3. In these examples, the phase equalizer 945' is embodied as a second-order all-pass filter (with  $F = 125$  Hz and  $Q = 0.12$ ), and the phase compensators 955' 956' are each embodied as second-order all-pass filters (with  $F = 3200$  Hz and  $Q = 0.12$ ). A higher Q value may be used to increase the steepness of the phase drop-off, reducing the extent to which the center channel is out-of-phase with the left and right channels at low frequencies (thus minimizing the burden imposed upon the speakers 924', 925').

**[0052]** FIG. 11 illustrates another implementation of the sound system 900 shown in FIG. 9-1, where all-pass filters 1157, 1158 are used in phase compensation blocks 1155 and 1156, respectively, to provide phase equalization and/or compensation. In FIG. 11, elements labeled with reference numerals "11xx" generally correspond to their counterparts labeled "9xx" in FIG. 9-1.

[0053] FIG. 10 is another diagram of a sound processing system 1000, in accordance with the general principles explained with respect to FIGS. 3 and 9, illustrating representative transfer functions according to an exemplary embodiment as described herein. In the sound processing system 1000 shown in FIG. 10, input audio signals X1 and X2 (e.g., left and right audio signals) are processed along two parallel paths, and the resultants individually summed together and provided as output signals Y1 and Y2, respectively (which may be fed to a pair of speakers, e.g., left and right speakers located in close proximity). A difference between the input audio signals X1 and X2 is obtained from a subtractor 1040, which provides the resulting difference signal 1040 to a processing block 1060 having a transfer function **-B**. The first input audio signal X1 is also fed to a processing block 1055 having a transfer function **A**, and the output of processing block 1055 is added together with the output of processing block 1060 and fed as the first output signal Y1. Likewise, the second input audio signal X2 is fed to a processing block 1056 having a transfer function **-A** (i.e., the inverse of the transfer function **A** of processing block 1055), and the output of processing block 1056 is inverted and added together with the inverted output of processing block 1060, then fed as the second output signal Y2. The overall relationship between the inputs and the outputs of the FIG. 10 sound processing system 1000 can be expressed as:

$$A \left( \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + B \begin{bmatrix} -1 & 1 \\ 1 & -1 \end{bmatrix} \right) \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \end{bmatrix}$$

In a preferred embodiment, the transfer function **-B** of processing block 1060 represents the combined transfer functions of a spectral weighting filter of 5 desired characteristics and a phase equalizer, such as illustrated by the difference path in the sound processing system 400 of FIG. 4. Also in a preferred embodiment, the transfer functions **A** and **-A** of processing blocks 1055 and 1056, respectively, each represent the transfer function of a phase compensation network that performs a complementary phase shifting to 10 compensate for the phase effects caused by the processing block 1060. The polarities in FIG. 10 are selected so that appropriate cross-cancellation will be attained.

**[0054]** In a preferred embodiment, input signals X1 and X2 represent the Z-transforms of the left and right audio channel inputs, and Y1 and Y2 represent 15 the corresponding Z-transforms of the left and right channel outputs which feed the pair of speakers (e.g., left and right speakers) located in close proximity. The transfer functions **A**, **-A**, and **B** may be represented in terms of **z**, and are determined in part by the sampling frequency  $F_s$  associated with processing in the digital domain. According to a particular embodiment, blocks 1055 and 20 1056 are each second-order all-pass filters with  $f = 3200$  Hertz,  $Q = 0.12$ , and may,

in one example, possess the following transfer function characteristics based upon representative examples of the sampling frequency  $F_s$ :

**[0055]** For  $F_s = 48$  KHz,

5      
$$A(z) = \frac{-0.2578123 - 0.6780222z^{-1} + z^{-2}}{1 - 0.6780222z^{-1} - 0.2578123z^{-2}}$$

**[0056]** For  $F_s = 44.1$  KHz,

10     
$$A(z) = \frac{-0.2944196 - 0.633509z^{-1} + z^{-2}}{1 - 0.633509z^{-1} - 0.2944196z^{-2}}$$

**[0057]** For  $F_s = 32$  KHz,

15     
$$A(z) = \frac{-0.4201395 - 0.469117z^{-1} + z^{-2}}{1 - 0.469117z^{-1} - 0.4201395z^{-2}}$$

- In this particular embodiment, block 1060 may be a first-order shelf having a gain of 0 dB at low frequencies and turn-over frequencies of 200 Hertz and 2 KHz in cascade with a second-order all pass filter, with  $f = 125$  Hz,  $Q = 0.12$ , and may, in one example, possess the following transfer function characteristics based upon representative examples of the sampling frequency  $F_s$ :

[0058] For  $F_S = 48$  KHz,

$$B(z) = G \times \frac{0.1116288 - 0.0857871z^{-1}}{1 - 0.9741583z^{-1}} \times \frac{0.8723543 - 1.872104z^{-1} + z^{-2}}{1 - 1.872104z^{-1} + 0.8723543z^{-2}}$$

5

[0059] For  $F_S = 44.1$  KHz,

$$B(z) = G \times \frac{0.1126427 - 0.0845478z^{-1}}{1 - 0.9719051z^{-1}} \times \frac{0.8618468 - 1.861552z^{-1} + z^{-2}}{1 - 1.861552z^{-1} + 0.8618468z^{-2}}$$

10

[0060] For  $F_S = 32$  KHz,

$$B(z) = G \times \frac{0.1173312 - 0.0788175z^{-1}}{1 - 0.9614863z^{-1}} \times \frac{0.814462 - 1.813915z^{-1} + z^{-2}}{1 - 1.813915z^{-1} + 0.814462z^{-2}}$$

A gain factor may also be included in block 1060, or else may be provided in  
15 the same path but as a different block or element. The gain may be determined for a particular application by experimentation, but is generally expected to be optimal in the range of 10-15 dB. In one embodiment, for example, the gain factor is 12 dB.

[0061] FIGS. 13 and 14 are graphs illustrating examples of frequency response and phase transfer functions for a sound processing system in  
20 500578

accordance with FIG. 10 and having particular spectral weighting, equalization and phase compensation characteristics. FIG. 13 illustrates a frequency response transfer function 1302 and phase transfer function 1305 for  $-\mathbf{B}/\mathbf{A}$ , which represents the transfer function of the difference channel ( $-\mathbf{B}$ ) and the first input channel ( $X_1$ ) with +12 dB of gain added. As shown in FIG. 13, the frequency response transfer function 1302 exhibits a relatively flat gain in a first region 1320 of bass frequencies (in this example, up to about 200 Hertz), a decreasing gain in a second region 1321 of mid-range frequencies (in this example, from about 200 Hertz to about 2 KHz), and then a relatively flat gain again in a third region 1322 of high frequencies (in this example, above 2 KHz). The phase response transfer function 1305 indicates that in the second region 1321 of mid-range frequencies (i.e., between about 200 Hertz and 2 KHz) the output signal remains substantially in phase.

**[0062]** FIG. 14 illustrates a frequency response transfer function 1402 and phase transfer function 1405 for  $-\mathbf{B}/-\mathbf{A}$ , which represents the transfer function of the difference channel ( $-\mathbf{B}$ ) and the first input channel ( $X_2$ ) with +12 dB of gain added. In FIG. 14, as with FIG. 13, the frequency response transfer function 1402 exhibits a relatively flat gain in a first region 1420 of bass frequencies (in this example, up to about 200 Hertz), a decreasing gain in a second region 1421 of mid-range frequencies (in this example, from about 200 Hertz to about 2 KHz), and then a relatively flat gain again in a third region 1422 of high frequencies (in

this example, above 2 KHz). The phase response transfer function 1405 indicates that in the second region 1421 of mid-range frequencies (i.e., between about 200 Hertz and 2 KHz) the output signal is substantially inverted in phase (i.e., at 180 degrees).

- 5 [0063] As noted, the output signals Y1, Y2 are preferably provided to a pair of speakers located in close proximity. The transfer functions **A**, **-A**, and **B** are examples selected for the situation where the speakers are located substantially adjacent to one another. However, benefits may be attained in the system 1000 of FIG. 10, or other embodiments described herein, where the pair of speakers are not immediately adjacent, but are nevertheless in close proximity with one another.
- 10

- [0064] FIG. 16 is a diagram of a sound processing system 1600 in accordance with an alternative embodiment as described herein, employing a linear spectral weighting filter. In the sound processing system 1600 of FIG. 16, a left audio signal 1611 and right audio signal 1612 are processed to derive a pair of processed audio signals 1648, 1649 which are applied to a pair of closely spaced speakers 1624, 1625 (e.g., left and right speakers). The left and right audio signals 1611, 1612 are operated upon by a subtractor 1640, which outputs a difference signal 1641 representing a difference between the left and right audio signals 1611, 1612. The difference signal 1641 is fed to a spectral weighting filter 1642 having a linear phase characteristic. The spectral weighting
- 15
- 20

filter 1642 may have frequency response characteristics in general accordance, for example, with the transfer function illustrated in FIG. 7A or 7B. Because the spectral weighting filter 1642 has a linear phase characteristic, phase equalization and compensation are not necessary. Therefore, the output of the 5 spectral weighting filter 1642 may be provided directly to a cross-cancellation circuit 1646, which then mixes the spectrally weighted signal with each of the left and right audio channels before applying them to the speakers 1624, 1625. To compensate for the delay caused by the spectral weighting filter 1642, delay components 1655 and 1656 may be added along the left and right channel 10 paths, respectively. The delay components 1655, 1656 preferably have a delay characteristic equal to the latency of the linear spectral weighting filter 1642.

**[0065]** The amount of cross-cancellation provided by the sound processing in various embodiments generally determines the amount of "spread" of the sound image. If too much cross-cancellation is applied, then the resulting sound 15 can seem clanky or echoey. If, on the other hand, too little cross-cancellation is applied, then the sound image may not be sufficiently widened or stabilized.

**[0066]** The pair of speakers (e.g., speakers 824 and 825 in FIG. 8, or closely spaced speakers in other embodiments described herein) which receive the sound processed information are preferably located immediately adjacent to 20 one another; however, they may also be physically separated while still providing benefits of enlarged sound image, increased stability, and so on.

Generally, the maximum acceptable separation of the pair of speakers can be determined by experimentation, but performance may gradually decline as the speakers are moved farther apart from one another. Preferably, the two speakers are placed no further apart than a distance that is comparable with

- 5 the wavelength of the highest frequency that is intended to be radiated by the speakers. For a maximum frequency of 2 kHz, this separation would correspond to a center-to-center spacing of about 6 inches between the two speakers. However, ideally the two speakers are placed immediately next to one another, in order to attain the maximum benefit from the sound processing techniques as  
10 described herein.

**[0067]** In various embodiments as described herein, improved sound quality results from a stereo sound image that has stability over a larger area than would otherwise be experienced with, e.g., speakers spaced far apart without comparable sound processing. Consequently, the audio product (e.g.,  
15 soundtrack) can be enjoyed with optimal or improved sound over a larger area, and by more listeners who are able to experience improved sound quality even when positioned elsewhere than the center of the speaker arrangement. Thus, for example, a home theater surround sound system may be capable of providing quality sound to a greater number of listeners, not all of whom need to  
20 be positioned in the center of the speaker arrangement in order to enjoy the playback of the particular audio product.

**[0068]** In any of the foregoing embodiments, the audio product from which the various audio source signals are derived, before distribution to the various speakers or other system components, may comprise any audio work of any nature, such as, for example, a musical piece, a soundtrack to an audio-

5 visual work (such as a DVD or other digitally recorded medium), or any other source or content having an audio component. The audio product may be read from a recorded medium, such as a DVD, cassette, compact disc, CD-ROM, or else may be received wirelessly, in any available format, from a broadcast or point-to-point transmission. The audio product preferably has at least left channel and right channel information (whether or not encoded), but may also include additional channels and may, for example, be encoded in a surround sound or other multi-channel format, such as Dolby-AC3, DTS, DVD-Audio, etc. The audio product may also comprise digital files stored, temporarily or permanently, in any format used for audio playback, such as, for example, an 15 MP3 format or a digital multi-media format.

**[0069]** The various embodiments described herein can be implemented using either digital or analog techniques, or any combination thereof. The term "circuit" as used herein is meant broadly to encompass analog components, discrete digital components, microprocessor-based or digital signal processing 20 (DSP), or any combination thereof. The invention is not to be limited by the

particular manner in which the operations of the various sound processing embodiments are carried out.

**[0070]** While examples have been provided herein of certain preferred or exemplary filter characteristics, transfer functions, and so on, it will be 5 understood that the particular characteristics of any of the system components may vary depending on the particular implementation, speaker type, relative speaker spacing, environmental conditions, and other such factors. Therefore, any specific characteristics provided herein are meant to be illustrative and not limiting. Moreover, certain components, such as the spectral weighting filter 10 described herein with respect to various embodiments, may be programmable so as to allow tailoring to suit individual sound taste.

**[0071]** The spectral weighting filter in the various embodiments described herein may provide spectral weighting over a band smaller or larger than the 200 Hertz to 2 KHz band. If the selected frequency band for spectral weighting is 15 too large, then saturation may occur or clipping may result, while if the selected frequency band is too small, then the spreading effect may be inadequate. Also, if cross-cancellation is not mitigated at higher frequencies, as it is in the spectral weighting filters illustrated in certain embodiments herein, then a comb filter effect might result which will cause nulls at certain frequencies. Therefore, 20 the spectral weighting frequency band, and the particular spectral weighting shape, is preferably selected to take account of the physical limitations of the

speakers and electronic components, as well as the overall quality and effect of the speaker output.

**[0072]** While certain system components are described as being "connected" to one another, it should be understood that such language

5 encompasses any type of communication or transference of data, whether or not the components are actually physically connected to one another, or else whether intervening elements are present. It will be understood that various additional circuit or system components may be added without departing from teachings provided herein.

**[0073]** Certain embodiments of the invention may find application in a

variety of contexts other than home theater or surround sound systems. For example, implementations of the invention may, in some circumstances, be applicable to personal computer systems (e.g., configured to play audio tracks, multi-media presentations, or video games with "three-dimensional" or multi-

15 channel sound), automobile or vehicular audio systems, portable stereos, televisions, radios, and any other context in which sound reproduction is desired.

Certain embodiments may find particular utility in situations in which possible speaker locations are limited and/or the maximum spacing between left and right speakers is severel limited, but where two adjacent or closely spaced speakers could be achieved. For example, the pair of closely spaced left and

right speakers may be part of an integrated portable stereo unit, or else may be located atop or beneath a computer monitor, etc.

**[0074]** In some embodiments, the pair of closely spaced speakers may be forced to work harder than they would without cross-cancellation, because the 5 cross-mixing of left and right signals requires that the speakers reproduce out-of-phase sound waves. To compensate for this effect, it may, for example, be desirable in some embodiments to increase the size of the amplifier(s) feeding the audio signals to the pair of closely spaced speakers.

**[0075]** While preferred embodiments of the invention have been 10 described herein, many variations are possible which remain within the concept and scope of the invention. Such variations would become clear to one of ordinary skill in the art after inspection of the specification and the drawings. The invention therefore is not to be restricted except within the spirit and scope of any appended claims.